Ph.D. PRELIMINARY EXAMINATION
for Students who enter Ph.D. Program with a B.S. degree

The Ph.D. Preliminary Examination is an oral exam in which you present your research to a committee of three ECE faculty members, and are examined for proficiency in your area of specialization. You are also examined orally on material from two ECE graduate courses. A written copy of your research presentation is required for the processing of your exam paperwork.

The purpose of the exam is to determine whether you have the aptitude and motivation necessary for completing dissertation work. Your exam result is based on your research presentation, proficiency demonstrated in your area of specialization, and overall academic record and performance in the graduate program.

The outcome of your exam will determine whether or not you will be allowed to continue in the Ph.D. program. Successful completion of the Preliminary Examination will also satisfy the M.S. Plan II comprehensive exam requirement.

**You must pass the Ph.D. Preliminary Examination by the end of your second year of study**

*NOTE: Ph.D. Candidates who do not have a research advisor by the end of their first year of study and/or plan to complete a terminal Master of Science degree are encouraged to take the Written Comprehensive Exam, which will be administered each Fall quarter. Please refer to the M.S. Written Comprehensive Exam guideline on the ECE website for further information.*

Course Requirements

☐ A total of 48 units, of which 36 units must be in graduate courses. (Note: this is greater than the minimum requirements of the university, which is a total of 36 units.) Refer to the degree planner for your discipline for a list of core courses from which the 36 graduate course units must be selected. The degree planner form may by obtained from the Graduate Student Affairs office or the ECE website.

☐ The course requirements must be completed within two years of full-time study. Your faculty/research advisor will help you select courses and approve your overall academic curriculum.

Scholarship Requirements

☐ The 48 units of required course work must be taken for a letter grade (A-F), except for graduate research (ECE 299) for which only S/U grades are allowed.

☐ Courses for which a D or F is received may not be counted.

☐ You must maintain a GPA of 3.0 overall or above in upper division graduate course work undertaken, with a total of no more than 8 units of “F” and/or “U” grades.
Comprehensive Requirements

☐ Students continuing in the Ph.D. program are required to elect Plan II (the preliminary exam satisfies the comprehensive exam requirement).

☐ You should complete at least four units of ECE 299 (Research) and you must pass the preliminary exam by the end of your second year of study.

Advancement to M.S. Candidacy

The Application for Candidacy for the Thesis or Comprehensive Examination Plan I or II, for the Degree of Master of Arts or Sciences form must be filed no later than the second week of the quarter in which M.S. requirements are to be completed. Ideally, you will complete at the time of registration in the last of "core" courses. See an ECE Graduate Student Affairs Advisor to obtain the relevant form.

EXAM PROCEDURES

Initial Preparation

1. Discuss with your research advisor your plan to take the Ph.D. Preliminary exam. Selection of a committee, which consists of 3 ECE faculty members, needs to be made in close consultation with your advisor, who will chair the committee. It is strongly recommended that the committee include the faculty member who usually teaches the course material you chose for testing.

2. Once your exam committee is formed, you must liaise with the members to decide upon a date and time for your examination. Try to set a date and time for your exam three weeks in advance. Faculty members are very busy and are frequently out of town, especially during summer months. Keep this in mind when planning to schedule your exam.

3. You would normally take the preliminary exam during a regular quarter. You may, however, schedule the exam during the summer or between quarters to accommodate the availability of faculty.

4. You should consult an ECE Graduate Student Affairs Advisor at least two weeks prior to your preliminary exam. You will be required to provide the following information relating to your exam:
   ☐ Date and time of exam
   ☐ The courses on which you wish to be examined
   ☐ Names of your exam committee members
   ☐ Title of your research presentation

5. Once you’ve provided this information to an ECE Graduate Student Affairs Advisor, the following arrangements will be made for your exam:
   ☐ Room booking
   ☐ Preparation of required forms
   ☐ Preparation of your file for the exam committee
   ☐ An exam confirmation will be sent to you via email once initial arrangements have been made for your exam
   ☐ An exam announcement will be sent to you and your exam committee via email two weeks prior to the exam
   ☐ An exam reminder will be sent to you and your exam committee via email two days prior to the exam
6. Prior to your exam, obtain a key for the examination room and the projector cabinet (if applicable) from the ECE General Administrator, who is located in EBU1 2806.

**Exam Format**

1. The exam is intended to test:
   i. Your ability to perform, present and discuss your research, and
   ii. Your technical proficiency in your chosen discipline within ECE

2. The exam therefore consists of two parts:
   i. A research presentation and questioning by the committee on the presentation, and
   ii. An oral examination on material from two ECE graduate courses that you have specified in advance

3. All committee members must be present during the exam, and the exam is closed to the public.

4. The Ph.D. Preliminary exam is about 2 hours in duration. It is anticipated that the research component of the examination will be approximately 40 minutes in duration and the coursework component approximately 30 minutes; however, these times may vary significantly depending on the committee’s preferences and your progress and level of proficiency as demonstrated during the examination.

5. You should prepare a research presentation lasting (assuming no questions) approximately 40 minutes and should be prepared to answer questions from the committee both during and following the presentation. It is expected that the presentation will include a description of the problem, related background work, and your research work. Focus on your results and their context within your field. Discussion of future work should be kept brief. While each research advisor may have a slightly different perspective on what is important, the preliminary exam is an opportunity for you to demonstrate that you are able to apply your knowledge to new problems. Your research topic for this exam does not need to be related to what will eventually become your dissertation topic. You will not be evaluated on whether or not your topic is suitable for a dissertation.

6. Plan to give a practice talk to your advisor and/or fellow students at least one week before your exam. This allows you plenty of time to make revisions and helps to strengthen your advisor’s confidence in you.

7. You should provide either a written research report (about 5 pages of text) or copies of all presentation slides to the committee no less than 3 days prior to the exam. NOTE: you must also submit a copy to the ECE Graduate Student Affairs Office after your exam.

8. It is recommended that the oral examination of your mastery of the specified courses be at a level comparable to that of an oral final course examination. You will be expected to display a corresponding level of technical proficiency in order to pass the exam.

**Results of the Exam**

1. The examination committee will evaluate your performance, bearing in mind that the purpose of the exam is to ensure that Ph.D. Candidates in ECE possess the ability to perform, present, and discuss original research at the level required to complete a Ph.D. dissertation.

2. The evaluation is based on the following criteria:
   i. Your obtained research results and presentation of these results in the examination;
   ii. Your command of advanced technical knowledge as displayed during the examination; and
   iii. Your overall academic record and performance at UCSD.

3. Your committee will determine the outcome of the examination to be one of the following:
   i. Pass students will be allowed to continue in the Ph.D. program;
ii. Fail with Retake students must retake the exam within six months, and may be asked to complete supplementary work;

iii. Fail without Retake students are not allowed to continue in the Ph.D. program and do not have the opportunity to retake the exam.

4. You will be informed of the outcome following the end of the exam.

5. The ECE Graduate Student Affairs Advisor will notify you via email regarding your degree completion forms. The following forms must be submitted to the Office of Graduate Studies (OGS) by the last day of the quarter in which you will receive your M.S. degree:
   i. Final Report of the Thesis or Comprehensive Examination (Plan I or II) for the Degree of Master of Arts or Science; and
   ii. Graduate Degree and Diploma Application

**Completion of the MS degree**

1. The M.S. degree will be awarded in the same quarter that you pass your comprehensive exam (except for exams taken during the summer, for which the degree will be awarded in the fall quarter), as long as you advanced to M.S. candidacy no later than second week of the same quarter. NOTE: You must be registered in the quarter in which the degree is to be awarded.

2. You will receive a letter confirming that you have successfully completed the M.S. degree requirements at the end of the same quarter.

3. Your diploma will be mailed 3-6 months after the end of the quarter. The diplomas are mailed directly to your permanent mailing address. Therefore, you must check your address for accuracy on TritonLink (http://tritonlink.ucsd.edu/) to avoid delays in delivery.